

### <u>HE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

applicant:

Glenn Noronha et al.

Examiner:

To Be Assigned

Serial No.:

10/075,415

Group Art Unit:

1626

Filed:

February 14, 2002

Docket:

G&C 130.30-US-U2

Title: POLYMERS FUNCTIONALIZED WITH FLUORESCENT BORONATE MOTIFS AND METHODS FOR MAKING THEM

#### CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

Name: William J. Wood

### INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP Attorneys for Applicant(s)

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045 (310) 641-8797

Date: December 10, 2002

WJW/kmf

William J. Wood

Reg. No.: 42,236

Sheet 1 of 5

Form 1449\*

INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION

Docket Number: G&C 130.30-US-U2

Applicant: Glenn Noronha et al.

Filing Date: February 14, 2002

Group Art Unit: 1626

<u>a</u>	····		U.S. PATENT DOCUMENT	'S		
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	4,236,071	11/25/80	Chimenti			
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ſ	EXAMINER:	DATE CONSIDERED:			
ł	EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in				
	conformance and not considered. Include copy of this form for next communication to the Applicant.				





# Form 1449\* Docket Number: G&C 130.30-US-U2 Application Number: 10/075,415 INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION Filing Date: February 14, 2002 Group Art Unit: 1626

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INFORMATION DISCLOSURE STATEMENT	Applicant: Glenn Noronha et al.	
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<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)

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IN AN APPLICATION	Filing Date: February 14, 2002	Group Art Unit: 1626

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